

**ANTI-MONEY LAUNDERING/
COMBATING THE FINANCING OF
TERRORISM (AML/CFT)
STATEMENT**

By

CAPSTOCK LTD

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Anti-Money Laundering and Combating the Financing of Terrorism Policy

Capstock Ltd is committed to the fight against money laundering and terrorism financing as per the FIAMLA and FIAML Regulations 2018 and we are keen to adopt a more effective, risk-based and outcome-focused approach. Our employees are required to read and acknowledge the AML/CFT and Compliance Procedures implemented by the company as per the laws.

In brief Capstock Ltd AML/CFT Policy key principles are:

- ✓ Protect Capstock Ltd from money laundering & terrorist financing.
- ✓ Maintain a set of AML/CFT policies and procedures, a system of internal controls to ensure ongoing AML/CFT compliance by a designated person(s) and to take appropriate action, once suspicious activity is detected, through the reporting of such transactions in line with the guidelines set out by Global Anti-Money Laundering regulations.
- ✓ Capstock Ltd anti-money laundering policies will apply to all business units.
- ✓ Report all identified suspicious activities to the extent that it can do so under all applicable foreign and domestic laws.
- ✓ Compliance with the Bank's anti-money laundering policies will be monitored through a combination of internal audit and regulatory reviews of compliance with relevant anti-money laundering legislation and/or regulations.
- ✓ Retaining all the customer related documents for a period specified by the Financial Services Commission of Mauritius.
- ✓ Request a Source of Funds Confirmation Letter from all clients.
- ✓ At the time of withdrawal, all fund transfers will be effected to the same account holder who made the deposit.
- ✓ Capstock Ltd does not offer services of opening anonymous accounts.
- ✓ Cooperate fully with law enforcement and regulatory agencies to the extent that it can do so under all applicable laws.
- ✓ Train staff on Know Your Customer and Anti-Money Laundering policies and new AML/CFT laws and regulations.

For further AML/CFT inquiries, please contact us at sales@capstock.mu.

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